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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

12

Application Number

10/533,744

Filing Date

May 4, 2005

First Named Inventor

Brown

Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

100886-1P US

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a



Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Signature

Printed name

Jianzhong Shen

Date

Aug 10, 2005

Reg. No.

48,076

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or printed name

Liz Ashton

Date

8/10/05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 10/533,744

Confirmation No.: Unknown

Applicant: Brown *et al.*

PCT Appl. No.: PCT/SE03/01703

National Phase Fld (371): May 4, 2005

PCT Filed: November 5, 2003

Docket No.: 100886-1P US

TC/A.U.: Unknown

Customer No.: 22466

Examiner: Unknown

Title: 4(Phenyl-Piperazinyl-Methyl) Benzamide Derivatives and Their Use for the Treatment of Pain or Gastrointestinal Disorders

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith a list of patents and publications for which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO/SB/08A and SB/08B forms.

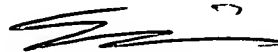
Applicants also wish to make the Examiner aware of co-pending applications:

10/714447, filed on Nov. 17, 2003;
10/477642, a PCT national phase filed on May 16, 2002;
10/533654, a PCT national phase filed on November 5, 2003; and
10/533764, a PCT national phase filed on November 5, 2003.

In accordance with 37 C.F.R. §§ 1.97 (g) and (h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that the information cited is material to patentability as defined in 37 C.F.R. § 1.56.

This Information Disclosure Statement is being filed before the mailing date of a first office action on the merits and therefore, pursuant to 37 C.F.R. § 1.97(b), no fee is required pursuant to 37 C.F.R. § 1.17(p).

Respectfully submitted,



Jianzhong Shen
Reg. No.48,076
302-886-8854

Dated: *Aug 10, 2005*

Enclosures: Forms SB/08A and SB/08B
References (50)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 9

Complete if Known

Application Number	10/533,744
Filing Date	05/04/2005
First Named Inventor	William Brown
Art Unit	
Examiner Name	
Attorney Docket Number	100886-1P US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US- 3,940,386	02/24/1976	Szabo et al.	
	A2	US- 5,574,159	11/12/1996	Chang et al.	
	A3	US- 5,681,830	10/28/1997	Chang et al.	
	A4	US- 5,807,858	09/15/1998	Chang et al.	
	A5	US- 5,854,249	12/29/1998	Chang et al.	
	A6	US- 6,130,222	10/10/2000	Roberts et al.	
	A7	US- 6,680,318	01/20/2004	Brown et al.	
	A8	US- 6,680,321	01/20/2004	Roberts et al.	
	A9	US- 6,696,447	02/24/2004	Brown et al.	
	A10	US- 6,784,181	08/31/2004	Brown et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	B1	WO 86/04584	08/14/1986	Aktiebolaget Leo		
	B2	WO 91/07967	06/13/1991	Janssen		
	B3	WO 01/74805	10/11/2001	AstraZeneca AB		
	B4	WO 93/15062	08/05/1993	The Wellcome		
	B5	WO 97/23466	07/03/1997	Astra Pharma Inc.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known

(Use as many sheets as necessary)

Sheet	2	of	9
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Application Number	10/533,744
Filing Date	05/04/2005
First Named Inventor	William Brown
Art Unit	
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Attorney Docket Number	100886-1P US

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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	B6	WO	95/04051	02/09/1995	The Wellcome		
	B7	WO	98/28270	07/02/1998	Astra Aktiebolag		
	B8	WO	98/28275	07/02/1998	Astra Aktiebolag		
	B9	WO	99/33806	07/08/1999	Ortho-McNeil		
	B10	EP	0289227	11/02/1988	Syntex		

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Sheet	3	of	9
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Application Number	10/533,744
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FOREIGN PATENT DOCUMENTS						
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	B11	EP 0283310	09/21/1988	Sankyo Company		
	B12	EP 0166302	01/02/1986	Polindustria		
	B13	EP 0133323	02/20/1985	The Wellcome		
	B14	GB 2210366	06/07/1989	American Home		
	B15	GB 2076403	12/02/1981	Selvi & C.S.p.A.		

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**INFORMATION DISCLOSURE
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Sheet

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Art Unit	
Examiner Name	
Attorney Docket Number	100886-1P US

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		US-			
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	B16	FR 2696744	04/15/1994	Laboratoires		
	B17	DE 2431178	01/16/1975	Cermol S.A.		
	B18	DE 2900810	07/24/1980	Cassella AG		
	B19	JP 7-138230	05/30/1995			
	B20	WO 97/23466	07/03/1997	Astra Aktiebolag		

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Sheet	5	of	9
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Application Number	10/533,744
Filing Date	05/04/2005
First Named Inventor	William Brown
Art Unit	
Examiner Name	
Attorney Docket Number	100886-1P US

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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				
	B21	WO	92/04338	03/19/1992	The Up-John Co.		
	B22	EP	0624584	08/19/1998	Daiichi		
	B23	WO	02/094794	11/28/2002	AstraZeneca AB		
	B24	WO	01/45637	06/28/2001	AstraZeneca AB		
	B25	WO	01/46174	06/28/2001	AstraZeneca AB		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

6

of

9

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Application Number	10/533,744
Filing Date	05/04/2005
First Named Inventor	William Brown
Art Unit	
Examiner Name	
Attorney Docket Number	100886-1P US

U. S. PATENT DOCUMENTS

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	B26	WO 2005/066148	07/21/2005	AstraZeneca AB		
	B27	WO 2004/041801	05/21/2004	AstraZeneca AB		
	B28	WO 2004/041800	05/21/2004	AstraZeneca AB		
	B29	WO 03/029215	04/10/2003	AstraZeneca AB		

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete If Known	
				Application Number	10/533,744
				Filing Date	05/04/2005
				First Named Inventor	William Brown
				Art Unit	
				Examiner Name	
Sheet	7	of	9	Attorney Docket Number	100886-1P US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	C1	Bilsky et al., "SNC 80, A Selective, Nonpeptidic and Systemically Active Opioid Delta Agonist," J. Pharmacol. Experi. Ther. 273:359-366 (1995)		
	C2	Takemori et al., "Selective Naltrexone-Driven Opioid Receptor Antagonists," Annu. Rev. Pharmacol. Toxicol. 32:239-269 (1992)		
	C3	Bilsky et al., "Characterization of Enantiomers of (+) BW373U86 and Related Compounds: Highly Selective Non-Peptidic Delta Opioid Agonists," Reg. Peptides 54:25-26 (1994)		
	C4	Calderon et al., "Probes for Narcotic Receptor Mediated Phenomena. 19. Synthesis of ... Opioid Receptor Agonist," J. Med. Chem. 37:2125-2128 (1994)		
	C5	Calderon et al., "Probes for Narcotic Receptor Mediated Phenomena. 23. Synthesis ... Opioid-Receptor Ligands," J. Med. Chem. 40:695-704 (1997)		
	C6	Chang et al., "A Novel, Potent and Selective Nonpeptidic Delta Opioid Receptor Agonist BW373U86," J. Pharmacol. Exper. Therap. 267:852-857 (1993)		
	C7	Katrizky et al., "Benzotriazole-Mediated Arylalkylation and Heteroarylalkylation," Chem. Soc. Rev. 23:363-373 (1994)		
	C8	Kingsbury et al., "Synthesis of Structural Analogs of Leukotriene B and their Receptor Binding Activity," J. Med. Chem. 36:3308-3320 (1993)		
	C9	Lopez et al., "Exploring the Structure-Activity Relationships... Opioid Receptor Nonpeptide Agonist Ligand," J. Med. Chem. 42:5359-5368 (1999)		
	C10	Plobek et al., "New Diarylmethylpiperazines as Potent and Selective Nonpeptidic Opioid Receptor Agonists with Increased In Vitro Metabolic Stability," J. Med. Chem. 43:3878-3894 (2000)		

Examiner Signature		Date Considered	
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				Application Number	10/533,744
				Filing Date	05/04/2005
				First Named Inventor	William Brown
				Art Unit	
				Examiner Name	
Sheet	8	of	9	Attorney Docket Number	100886-1P US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	C11	Suggs et al., "Facile Synthesis fo 8-Substituted Quinolines," J. Org. Chem., 45:1514-1515 (1980)		
	C12	Zhang et al., "Probes for Narcotic Receptor Mediated Phenomena. 26. Synthesis ... Opioid Receptor Ligands," J. Med. Chem. 42:5455-5463 (1999)		
	C13	English Abstract for Reference B16 on PTO/SB/08A, Page 4		
	C14	English Abstract for Reference B17 on PTO/SB/08A, Page 4		
	C15	English Abstract for Reference B18 on PTO/SB/08A, Page 4		
	C16	English Abstract for Reference B19 on PTO/SB/08A, Page 4		
	C17	Burkey et al., "The Efficacy of Delta-Opioid Receptor-Selective Drugs," Medline Abstract for Life Sci. 62:1531-1536 (1998)		
	C18	Nagase et al., "The Pharmacological Profile of Delta Opioid Receptor Ligands, (+) and (-) TAN-67 on Pain Modulation," Medline Abstract for Life Sci. 68:2227-2231 (2001)		
	C19	Green, "Protective Groups in Organic Synthesis," pgs. 267-268 and 331 (1981)		
	C20	Abstract for HU 217619. A corresponding English language PCT application is cited as Reference B1 listed on PTO/SB/08A, Page 1		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Complete if Known

Application Number

10/533.744

Filing Date

05/04/2005

First Named Inventor

William Brown

Art Unit

Examiner Name

Attorney Docket Number

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